Technical appendix: The impact of providing enhanced support for Sutton Homes of Care residents

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About this technical appendix

This technical appendix provides supplemental information relating to analysis conducted by the Improvement Analytics Unit, a partnership between NHS England and the Health Foundation. It supports a Health Foundation briefing considering the findings of the analysis - available from <u>https://www.health.org.uk/publication/impact-providing-enhanced-support-</u> <u>sutton-homes-care-residents</u>. This technical appendix provides supplemental results that were not published in the main briefing. For information on the methods used see the statistical analysis protocol, which is available from the link above.





Figure A1: Data linkage process used to identify care home residents

 Table A1: Descriptive statistics for key characteristics of Sutton CCG and comparable CCGs in England. Quoted figures refer to data from 2015 unless otherwise stated

| | NHS Sutton CCG | NHS Bexley CCG | NHS Dartford, Gravesham and Swanley CCG | NHS Leeds North CCG | NHS Greater Huddersfield CCG | NHS Bromley CCG | NHS Havering CCG | NHS North East Hampshire and Farnham | England |
|-------------------------------|----------------------|----------------------|---|---------------------------|------------------------------------|-----------------------|------------------------|--|---------|
| Census data (2011 c | ensus) | | | | | | | | |
| Persons who are female (%) | 51.3 | 51.8 | 50.8 | 51.7 | 50.6 | 52.0 | 52.0 | 50.4 | 50.7 |
| Persons aged 0–4 (%) | 7.1 | 6.7 | 6.8 | 6.4 | 6.2 | 6.6 | 6.5 | 6.5 | 6.3 |
| Persons aged 5–14 (%) | 12.4 | 12.6 | 12.2 | 11.7 | 11.7 | 12.1 | 11.7 | 12.5 | 11.6 |

| | NHS Sutton CCG | NHS Bexley CCG | NHS Dartford, Gravesham and Swanley CCG | NHS Leeds North CCG | NHS Greater Huddersfield CCG | NHS Bromley CCG | NHS Havering CCG | NHS North East Hampshire and Farnham | England |
|----------------------------|----------------------|----------------------|---|---------------------------|------------------------------------|-----------------------|------------------------|--|---------|
| Persons aged 15- 44 (%) | 40.2 | 38.8 | 38.4 | 37.0 | 38.5 | 37.9 | 38.1 | 38.0 | 39.1 |
| Persons aged 45- 64 (%) | 25.2 | 25.3 | 25.5 | 25.9 | 26.0 | 25.8 | 25.4 | 26.1 | 25.3 |
| Persons aged 65- 74 (%) | 8.0 | 8.4 | 9.2 | 9.9 | 9.9 | 9.1 | 9.4 | 9.3 | 9.6 |
| Persons aged 75- 89 (%) | 6.3 | 7.3 | 7.1 | 8.2 | 6.9 | 7.4 | 8.1 | 6.8 | 7.2 |
| Persons aged 90+ (%) | 0.8 | 0.9 | 0.7 | 1.0 | 0.7 | 1.0 | 1.0 | 0.9 | 0.9 |

| | NHS Sutton CCG | NHS Bexley CCG | NHS Dartford, Gravesham and Swanley CCG | NHS Leeds North CCG | NHS Greater Huddersfield CCG | NHS Bromley CCG | NHS Havering CCG | NHS North East Hampshire and Farnham | England |
|--|----------------------|----------------------|---|---------------------------|------------------------------------|-----------------------|------------------------|--|---------|
| Persons who are white (%) | 78.6 | 81.9 | 87.0 | 82.6 | 82.6 | 84.3 | 87.7 | 90.3 | 85.4 |
| Persons who are Black/African/ Caribbean/Black British (%) | 4.8 | 8.5 | 2.9 | 4.1 | 3.0 | 6.0 | 4.8 | 1.2 | 3.5 |
| Persons who are Asian/Asian British: Indian/Pakistani/ Bangladeshi (%) | 11.6 | 6.6 | 7.0 | 9.1 | 10.5 | 5.2 | 4.9 | 6.2 | 7.8 |
| Persons who have mixed ethnicity or are from multiple ethnic groups (%) | 3.8 | 2.3 | 2.0 | 2.9 | 3.0 | 3.5 | 2.1 | 1.8 | 2.3 |
| Persons who are from another ethnic group (%) | 1.3 | 0.8 | 1.1 | 1.2 | 0.9 | 0.9 | 0.6 | 0.5 | 1.0 |

| | NHS Sutton CCG | NHS Bexley CCG | NHS Dartford, Gravesham and Swanley CCG | NHS Leeds North CCG | NHS Greater Huddersfield CCG | NHS Bromley CCG | NHS Havering CCG | NHS North East Hampshire and Farnham | England |
|---|----------------------|----------------------|---|---------------------------|------------------------------------|-----------------------|------------------------|--|---------|
| Average rural/urban indicator | 1.00 | 1.00 | 1.98 | 1.85 | 1.92 | 1.16 | 1.01 | 3.18 | 2.77 |
| Individuals day-to- day activities limited a lot or a little | 79.37 | 87.15 | 86.87 | 93.85 | 97.53 | 81.78 | 94.18 | 71.53 | 96.81 |
| English indices of de | eprivation | (2015) | - | | | | | - | |
| IMD 2015 (rank of average score) | 1 | 2 | 4 | 4 | 5 | 2 | 3 | 0 | 5 |
| Health Deprivation and Disability 2015 (rank of average score) | 2 | 1 | 2 | 5 | 4 | 1 | 2 | 1 | 5 |

| | NHS Sutton CCG | NHS Bexley CCG | NHS Dartford, Gravesham and Swanley CCG | NHS Leeds North CCG | NHS Greater Huddersfield CCG | NHS Bromley CCG | NHS Havering CCG | NHS North East Hampshire and Farnham | England |
|---|----------------------|----------------------|---|---------------------------|------------------------------------|-----------------------|------------------------|--|---------------|
| Income Deprivation Affecting Older People (IDAOPI) | 3 | 2 | 3 | 5 | 4 | 2 | 3 | 2 | 5 |
| Hospital use | | | | | | | | | |
| A&E attendances (ages 65+) | 30,715 | 34,986 | 38,334 | 28,099 | 30,015 | 43,978 | 42,560 | 26,528 | 7,613,91 3 |
| Elective admissions (ages 65+) | 25,658 | 39,546 | 35,660 | 29,865 | 29,400 | 49,892 | 40,831 | 30,293 | 8,233,23 5 |
| Emergency admissions (ages 65+) | 17,188 | 19,090 | 20,998 | 18,627 | 19,591 | 26,817 | 22,008 | 17,576 | 4,692,21 |

| | NHS Sutton CCG | NHS Bexley CCG | NHS Dartford, Gravesham and Swanley CCG | NHS Leeds North CCG | NHS Greater Huddersfield CCG | NHS Bromley CCG | NHS Havering CCG | NHS North East Hampshire and Farnham | England |
|---|----------------------|----------------------|---|---------------------------|------------------------------------|-----------------------|------------------------|--|----------------|
| Emergency bospital | | | | | | | | | |
| admissions: | | | | | | | | | |
| chronic | 118 | 136 | 175 | 170 | 147 | 208 | 137 | 123 | 40,002 |
| Emergency hospital admissions: acute conditions usually | 1,349 | 1,422 | 1,393 | 1,157 | 1,440 | 1,973 | 2,232 | 1,320 | 344,799 |
| Outpatient attendances (ages 65+) | 384,85 2 | 309,06 8 | 351,820 | 255,522 | 252,518 | 441,583 | 329,680 | 357,694 | 70,581,3 10 |
| Other sources | | | | | | | | | |
| Proportion of care home beds available (ages 65+) from CQC | 0.007 | 0.005 | 0.007 | 0.008 | 0.009 | 0.006 | 0.008 | 0.008 | 0.009 |

| | NHS Sutton CCG | NHS Bexley CCG | NHS Dartford, Gravesham and Swanley CCG | NHS Leeds North CCG | NHS Greater Huddersfield CCG | NHS Bromley CCG | NHS Havering CCG | NHS North East Hampshire and Farnham | England |
|--|----------------------|----------------------|---|---------------------------|------------------------------------|-----------------------|------------------------|--|-----------------|
| Number of GPs (full time equivalent) from NHS Digital | 0.128 | 0.127 | 0.135 | 0.157 | 0.167 | 0.229 | 0.156 | 0.150 | 38.760 |
| Population density (number of persons per square km) from | 4564.3 | 3998.4 | 963.3 | 767.7 | 775.9 | 2163.5 | 2216.8 | 1122.3 | 420.5 |
| Population density from ONS | 791,70 8 | 806,93 2 | 576,937 | 413,785 | 401,499 | 919,456 | 700,004 | 445,686 | 145,422, 846 |

Figure A2: Selection of care home and individual cohorts participating in the evaluation





Figure A2: Standardised Mean Difference statistics between new Sutton and control care home residents from the matched sample (blue circles) and wider control pool (red squares). Points relying on less than 10 individuals have been removed to avoid the risk of disclosing the identity of their underlying residents



Standardised Mean Differences (%)

Table A2: Distribution of the 297 care home residents in the matchedcontrol group by CCG responsible

| Comparable CCG | Matched control individuals |
|-------------------------------------|-----------------------------|
| NHS Bromley CCG | 76 (25.59%) |
| NHS Havering CCG | 62 (20.88%) |
| NHS Leeds North CCG | 43 (14.48%) |
| NHS Greater Huddersfield CCG | 41 (13.80%) |
| NHS Dartford, Gravesham and Swanley | |
| CCG | 35 (11.78%) |
| NHS North East Hampshire and | |
| Farnham CCG | 26 (8.75%) |
| NHS Bexley CCG | 14 (4.71%) |

Table A.3: Comparison of hospital utilisation between the Sutton residents and the matched control residents. Figures relying on less than 10 individuals (marked with –) have been removed to avoid the risk of disclosing the identity of their underlying residents

| | Crude rates for Sutton residents in the study (number per person per year) | Crude rates for matched control residents (number per person per year) | Relative difference from matched comparison group (adjusted rate ratio) | 95% confidence interval | P-value |
|---------------------------------|--|---|--|---|---------|
| Falls and significant fractures | 0.26 | 0.2 | 46% higher for Sutton | 17% lower to 164% higher for Sutton | 0.196 |
| UTIs | 0.13 | _ | 237% higher for Sutton | 30% lower to 2,364 higher for Sutton | 0.163 |

Table A.4: Distribution of care home residents in the Sutton andmatched control subgroups for each subgroup analysis scenario.Percent figures are relative to the corresponding cohort size

| Subgroup | Sutton residents | Matched control residents |
|---------------------------------|------------------|---------------------------|
| Nursing care home residents | 220 (74.07%) | 220 (74.32%) |
| Residential care home residents | 77 (25.93%) | 76 (25.68%) |
| Early care home entrants | 65 (21.89%) | 80 (27.03%) |
| Late care home entrants | 147 (49.49%) | 130 (43.92%) |

Table A5: Comparison of hospital utilisation between the Sutton residents and the matched control residents for each subgroup analysis scenario. Figures relying on less than 10 individuals (marked with (marked with –) have been removed to avoid the risk of disclosing the identity of their underlying residents; estimates from models that could not be fitted due to unduly small sample sizes or counts have also been omitted.

| | Subgroup | Crude rates for new Sutton residents (number per person per year) | Crude rates for matched control residents (number per person per year) | Relative difference from matched comparison group (adjusted rate ratio) | 95% confidence interval | P-value |
|---|---------------------------------------|---|---|--|--|---------|
| Hospital bed days (mean, standard deviation) | Residential care home residents | 7 (16.3) | 6.2 (13.9) | 92% higher for Sutton | 43% lower to 544% higher for Sutton | 0.294 |
| | Nursing care home residents | 3.9 (9.6) | 2.8 (9.9) | 56% higher for Sutton | 16% lower to 189% higher for Sutton | 0.162 |
| | Early care home entrants | 4.9 (9.6) | 4.1 (12.1) | 73% higher in Sutton | 28% lower to 315% higher for Sutton | 0.217 |
| | Late care home entrants | 2.9 (10.8) | 2.6 (11.2) | 5% lower for Sutton | 51% lower to 83% higher for Sutton | 0.869 |
| Falls and significant fractures | Residential care home residents | 0.54 | 0.44 | 132% higher for Sutton | 53% lower to 1,052% higher for Sutton | 0.304 |

| Subgroup | Crude rates for new Sutton residents (number per person per year) | Crude rates for matched control residents (number per person per year) | Relative difference from matched comparison group (adjusted rate ratio) | 95% confidence interval | P-value |
|-----------------------------------|---|---|--|--|---------|
| Nursing care home residents | 0.14 | 0.1 | 133% higher for Sutton | 43% lower to 1,092% higher for Sutton | 0.270 |
| Early care home entrants | - | - | - | - | - |
| Late care home entrants | 0.44 | 0.33 | 21% higher for Sutton | 57% lower to 265% higher for Sutton | 0.719 |

Table A6: Percentage of deaths occurring outside hospital for each subgroup analysis scenario. Figures relying on less than 10 individuals (marked with –) have been removed to avoid the risk of disclosing the identity of their underlying residents; estimates from models that could not be fitted due to unduly small sample sizes or counts have also been omitted

| | Subgroup | Sutton residents in the study | Matched control residents | Relative difference from matched comparison (adjusted odds ratio) | 95% confidence interval | P-value |
|--|---------------------------------------|--|---------------------------------|---|--|---------|
| Percentage of deaths outside hospital (number of deaths outside hospital/total deaths) | Residential care home residents | - | - | - | - | - |
| | Nursing care home residents | 73.40% (58/79) | 84.70% (61/72) | 221% higher for Sutton | 19% lower to 1,618% higher for Sutton | 0.115 |
| | Early care home entrants | 73.30% (33/45) | 78.30% (36/46) | - | - | - |
| | Late care home entrants | 69.20% (18/26) | 83.30% (20/24) | - | - | - |

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Errors or omissions remain the responsibility of the authors alone.